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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Garth W. Gobeli
Application No./Patent No.: 10/725,361 Filed/Issue Date: 12/01/2003
Entitled: Spatial Light Modulator and Method
Complex Light Valve Corporation Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 7761, Frame 0735, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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[] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

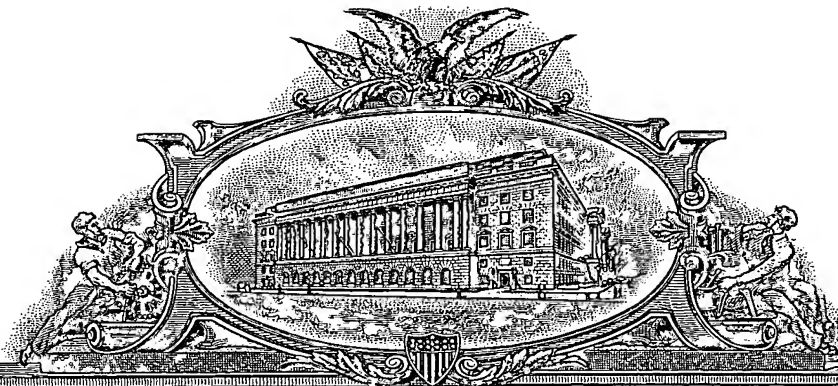
March 24, 2004
Date
505-265-9345
Telephone number

James Potelli
Typed or printed name
[Signature]
Signature
Secretary to the Corp.
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

A 719144



THE UNITED STATES OF AMERICA

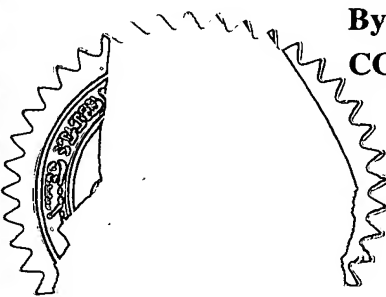
TO ALL TO WHOM THESE PRESENTS SHALL COME:

**UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office**

March 12, 2004

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
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NOVEMBER 08, 1995.**

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HEET

DE/5537-A-01

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patent

Original documents or copy thereof

1 Name of conveying party(ies):

Garth W. Gobel

100119621

Address of receiving party(ies):

Name: Complex Light Valve Corporation

Internal Address: 5010 Copper N.E.
Albuquerque, New Mexico 87108

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2 Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: Same

City: _____ State: _____ Zip: _____

Execution Date: October 30, 1995

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4 Application number(s) or patent number(s):

A. Patent Application submitted herewith and entitled "SPATIAL
LIGHT MODULATOR AND METHOD", our Docket No. 5537-A-01

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5 Name and address of party to whom correspondence concerning
document should be mailed:

Name: Charles R. Hoffman

Internal Address: 2141 East Highland Avenue, Suite 155
Phoenix, Arizona 85016

Street Address: Same

City: _____ State: _____ Zip: _____

6. Total number of applications and patents involved:

One

7. Total Fee (37 CFR 3.41) \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit
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9 Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the
original document.

Charles R. Hoffman
Name of Person Signing

Signature

November 8, 1995
Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT
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8/555404

5537-A-01

ASSIGNMENT

This Assignment is made and executed this 30th
day of October, 1995, by and between:

GARTH W. GOBELI)	
An individual residing at)	Hereinafter referred
1004 Warm Sands Trail, S.E.)	to as "ASSIGNOR"
Albuquerque, New Mexico 87123)	
	and	
)	
COMPLEX LIGHT VALVE CORPORATION)	
a New Mexico Corporation)	Hereinafter referred
having a principal place)	to as "ASSIGNEE"
of business at)	
5010 Copper N.E.)	
Albuquerque, New Mexico 87108)	
)	
)	

W I T N E S S E T H:

WHEREAS, ASSIGNOR is the inventor of the improvements described and claimed in the application for United States Letters Patent filed herewith and entitled "SPATIAL LIGHT MODULATOR AND METHOD", hereinafter referred to as "PATENT RIGHTS";

WHEREAS, ASSIGNOR desires to assign his right, title and interest in and to the above-identified PATENT RIGHTS to ASSIGNEE.

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other valuable consideration, the receipt of which is hereby acknowledged:

1. ASSIGNOR does hereby sell and assign to ASSIGNEE, its successors and assigns, his entire right, title and interest in and to the improvements described and claimed in the above-identified PATENT RIGHTS, including: (a) any and all domestic and foreign patents and applications therefor based upon said improvements; (b) any and all divisions, reissues, continuations, and extensions based upon the above-identified patents and applications therefor; and (c) any and all rights of priority resulting from the filing of any of the above-identified

